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Washington, D.C. 20231

PATENT  
Attorney Docket No.: 019281-000900US  
10/19/01



On October 1, 2001  
TOWNSEND and TOWNSEND and CREW LLP  
By: Tara A. Ohler

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John J. Sie et al.

Application No.: 09/687,149

Filed: October 12, 2000

For: PROGRAMMING DISTRIBUTION  
SYSTEM

Examiner:

Art Unit: 2711

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

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Reg. No. 43,616

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# TRANSMITTAL FORM

be used for all correspondence after initial filing)

Application Number 09/687,149

Filing Date October 12, 2000

First Named Inventor Sie, John J.

Group Art Unit 2711

Examiner Name

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Total Number of Pages in This Submission

1

Attorney Docket Number

019281000900

2600

## ENCLOSURES (check all that apply)

 Fee Transmittal Form Assignment Papers  
(for an Application) After Allowance Communication to Group Fee Attached Drawing(s) Appeal Communication to Board of Appeals and Interferences Amendment / Response Licensing-related Papers Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief) After Final Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition Proprietary Information Affidavits/declaration(s) Petition to Convert to a  
Provisional Application Status Letter Extension of Time Request Power of Attorney, Revocation  
Change of Correspondence Address Other Enclosure(s)  
(please identify below): Express Abandonment Request Terminal Disclaimer

PTO/SB/08A

 Information Disclosure Statement Request for Refund

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 Certified Copy of Priority  
Document(s) CD, Number of CD(s)

Copies of 13 references cited

 Response to Missing Parts/  
Incomplete Application

Remarks

The Commissioner is authorized to charge any additional fees to  
Deposit Account 20-1430. Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Thomas D. Franklin

Reg No. 43,616

Signature

Date

10/4/01

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Substitute for form 1449B/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

1

### Complete if Known

Application Number	09/687,149
Filing Date	October 12, 2000
First Named Inventor	John J. Sie
Group Art Unit	2711
Examiner Name	

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### U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
AA	5,130,792			Tindell et al.	07-14-1992	
AB	5,619,249			Billock et al.	04-08-1997	
AC	5,805,154			Brown	09-08-1998	
AD	Re. 36,801			Logan et al.	08-01-2000	
AE	6,163,272			Goode et al.	12-19-2000	
AF	6,209,024	B1		Armstrong et al.	03-27-2001	
AG	6,229,895	B1		Son et al.	05-08-2001	
AH	6,233,389	B1		Barton et al.	05-15-2001	
AI	6,233,607	B1		Taylor et al.	05-15-2001	
AJ	6,240,553	B1		Son et al.	05-29-2001	
AK	6,253,375	B1		Gordon et al.	06-26-2001	
AL	6,282,207	B1		Lerman et al.	08-28-2001	

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AM	Ciciora, Walter; James Farmer; David Large; <i>Modern Cable Television Technology: Video, Voice, and Data Communications</i> ; Morgan Kaufmann Publishers, Inc.; 1999; Chapter 16; pp. 659-688	

Examiner Signature	Date Considered
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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